

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: 09/818,483 Confirmation No.: 9569  
Applicant: E. Brian Finkelstein, et al.  
Title: NEGOTIATED RIGHT EXCHANGE  
Filed: March 27, 2001  
Art Unit: 3692  
Examiner: Timothy Harbeck  
  
Atty. Docket: 00-8201  
Customer No. 63710

**REQUEST FOR CONTINUED EXAMINATION**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of application Serial No. 09/818,483, filed March 27, 2001, and titled NEGOTIATED RIGHT EXCHANGE.

1. The following are enclosed herewith:
  - ☒ Petition for Extension of Time
  - ☒ Amendment/Reply
  - ☒ Information Disclosure Statement and Form 1449
  - ☒ Copies of references cited in Information Disclosure Statement
  - ☐ Other \_\_\_\_\_
2. Please enter and consider:
  - ☒ the enclosed Reply to Office Action
  - ☒ Information Disclosure Statement
3. Please consider the enclosed Amendment/Reply and Information Disclosure Statement.
4. Small entity status under 37 C.F.R. § 1.27 was claimed in this application. Though the applicant entity is now owned by a large entity, no redetermination of small entity status is required at this time.

5. Fee for claims added by amendment:

	Claims now pending		Claims previously paid		Number Extra		Rate	Fee
Total Claims	100	-	29	=	0	×	\$ 50.00	\$3,550.00
Independent Claims	14	-	3	=	0	×	\$ 200.00	\$2,200.00
Total:								\$5,750.00

6. Charge \$ 790.00 in payment of the RCE filing fee and \$5,750.00 for claims added by amendment to Deposit Account No. 50-3938, Order No. 00-8201.
7. The Commissioner is authorized to charge any additional required fees (including all extension of time fees pursuant to 37 C.F.R. § 1.17 for maintaining copendency with the parent application), or credit any overpayment, to Deposit Account No. 50-3938, Order No. 00-8201.

Respectfully submitted,

HELIX FINANCIAL SYSTEMS, L.P. INC.

Dated: April 20, 2007

By: /David E. Boundy /  
David E. Boundy  
Registration No. 36,461

HELIX FINANCIAL SYSTEMS, L.P. INC.  
110 East 59th St.  
New York, NY 10022  
(212) 294-7848  
(917) 677-8511 (FAX)